

Research Excellence Framework (REF)

Panel specific guidance

UOA 4 – Psychology, Psychiatry and Neuroscience (Main Panel A)

This information has been extracted from the Panel Criteria and Working Methods document (REF 01.2012) published by the REF Team in January 2012.

UOA Descriptor

The UOA includes research into all aspects of psychology, neuroscience and its clinical subspecialities, and psychiatry.

For psychology the sub-panel expects submissions in this UOA covering the full range of the discipline from all areas of psychology, plus all aspects of neuroscience from the molecular through to whole-system behavioural research, genetics and varieties of imaging, incorporating neurodevelopmental as well as adult work. It will include work on the understanding and treatment of all types of brain injury, stroke, neurodegenerative and neurodevelopmental disorders, as well as all aspects of psychiatry including biological, community, developmental, genetic, and neuropharmacological research.

The sub-panel is aware of the breadth of its remit, which will cover submissions that inform, or have the potential to inform, practice as well as submissions reporting theoretical and methodological advances in basic research. It is expected that there will be some overlap with UOA 1 (Clinical Medicine), UOA 2 (Public Health, Health Services and Primary Care), UOA 3 (Allied Health Professions, Dentistry, Nursing and Pharmacy), UOA 5 (Biological Sciences), and UOA 6 (Agriculture, Veterinary and Food Science).

Outputs

Outputs	
	This sub-panel will be provided with citation data by the REF Team.
	Panels will be provided with the number of times each output has been cited and the norms for the field (Scopus data).
Citations	
	Citation data will be considered by the sub-panel as a positive indicator of the academic significance of an output.
	No panels will use impact factors, journal lists, rankings, or publisher quality information.
	All outputs are eligible providing they embody research as defined
Eligible output types	for the purposes of the REF ¹ . This includes review articles and
	textbooks where they add a significant new perspective.
	Accepted.
Non-text or practice-based	
submissions	HEIs must include a description of the research process and
	content in REF2 for each applicable output (max 300 words).

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¹ 'For the purposes of the REF, research is defined as a process of investigation leading to new insights, effectively shared'. See REF 02.2011 Assessment Framework and Guidance on Submissions for the full definition.

	Accepted in exceptional circumstances.
Double-weighted outputs	HEIs may request that outputs of extended scale and scope be double-weighted. HEIs may include a 'reserve' output with each output requested for double-weighting. A justification (max 100 words) will need to be submitted for
	consideration by the sub-panel (for example, a single authored monograph).
	Accepted but only where an author has made a significant contribution to the output.
Co-authored or	No additional information is required where either:
collaborative outputs submitted in different	i) there are fewer than 6 authors; or,
submissions (same HEI but different UOAs, or different HEI same/difference UOA)	 ii) there are 6 or more authors but the individual against whom the output is listed is identified either as the lead or corresponding author.
	If there are more than 6 authors and the individual is not the lead or corresponding author then HEIs are required to justify the contribution by selecting from a list of statements in form REF2.
	All panels consider that the fullest and most favourable impression of research will normally be gained when each co-authored output is listed once within a submission.
Co-authored or collaborative outputs submitted in the same submission (same HEI and	If a co-authored output is listed against 2 authors in the same submission and is not accepted by the sub-panel then one occurrence of the output will be listed as Unclassified.
same UOA)	Co-authored outputs within the same submission will only be
	accepted in very exceptional cases against a maximum of 2 individuals per submission (must be explained in REF2, max 100
	words).
Additional output	None mentioned.
information required	

The sub-panels will look for evidence of some of the following types of characteristics of quality, as appropriate to each of the starred quality levels: • scientific rigour and excellence, with regard to design, method, execution and analysis • significant addition to knowledge and to the conceptual framework of the field • potential and actual significance of the research • the scale, challenge and logistical difficulty posed • by the research • the logical coherence of argument • contribution to theory-building • significance of work to advance knowledge, skills, understanding and scholarship in theory, practice, education, management and/or policy • applicability and significance to the relevant service users and research users • potential applicability for policy in, for example health, healthcare, public health, animal health or welfare. Unless there is sufficient evidence of at least one of the above, or the definition of research used for the REF is not met, research outputs will be graded as Unclassified.		
	Criteria and level definitions	types of characteristics of quality, as appropriate to each of the starred quality levels: • scientific rigour and excellence, with regard to design, method, execution and analysis • significant addition to knowledge and to the conceptual framework of the field • potential and actual significance of the research • the scale, challenge and logistical difficulty posed • by the research • the logical coherence of argument • contribution to theory-building • significance of work to advance knowledge, skills, understanding and scholarship in theory, practice, education, management and/or policy • applicability and significance to the relevant service users and research users • potential applicability for policy in, for example health, healthcare, public health, animal health or welfare. Unless there is sufficient evidence of at least one of the above, or the definition of research used for the REF is not met, research

Impact

Eligibility of submitting the	Excluded unless the impact extends significantly beyond the
impact of teaching	submitting HEI.
Impact case studies – quality of the underpinning research	The case study must include references (average of three) to confirm the quality, for example, peer reviewed external income, outputs in peer reviewed journals, outputs have won prestigious prizes, etc.

Environment

Additional environment data to be provided	None required.
<u> </u>	25% - overview and strategy combined
Environment elements	25% - people
weightings (5 elements)	25% - income, infrastructure & facilities
	25% - collaboration & contribution to the discipline
Structure of submissions	HEIs are encouraged to structure submissions using research
Structure of Submissions	groups, and to link individuals to research groups.